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# Foreword

167 The *Policy Profile* (DSP1003) was prepared by the Policy Working Group of the DMTF.

168 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems

169 management and interoperability.

# Introduction

171 The information in this specification should be sufficient for a provider or consumer of this data to

unambiguously identify the classes, properties, methods, and values that shall be instantiated and

173 manipulated to represent and manage policy collections and rules modeled using the DMTF Common

174 Information Model (CIM) core and extended model definitions.

175 The target audience for this specification is implementers who are writing CIM-based providers or

176 consumers of management interfaces that represent the components described in this document.

# 178 **1 Scope**

179 The *Policy Profile* is an abstract profile that extends the management capability of referencing profiles by 180 adding the capability to represent policies that apply to Managed Elements and optionally define specific 181 CQL or CIM-SPL statements that represent the policies. The *Policy Profile* is to be specialized by profiles 182 that define specific policy algorithms and optionally define CQL or CIM-SPL statements for particular 183 policies.

# 184 2 Normative References

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced

187 document (including any amendments) applies.

## 188 2.1 Approved References

- 189 DMTF <u>DSP0004</u>, CIM Infrastructure Specification 2.3.0
- 190 DMTF <u>DSP0200</u>, CIM Operations over HTTP 1.2.0
- 191 DMTF <u>DSP1000</u>, Management Profile Specification Template
- 192 DMTF <u>DSP1001</u>, Management Profile Specification Usage Guide
- 193

#### 194 2.2 References under Development

- 195 DMTF <u>DSP1033</u>, Profile Registration Profile
- 196 DMTF <u>DSP0202</u>, CIM Query Language Specification
- 197 DMTF <u>DSP0231</u>, CIM Simplified Policy Language
- 198

## 199 2.3 Other References

- ISO/IEC Directives, Part 2, *Rules for the structure and drafting of International Standards*,
   <u>http://isotc.iso.org/livelink/livelink.exe?func=ll&objld=4230456&objAction=browse&sort=subtype</u>
- 202 Unified Modeling Language (UML) from the Open Management Group (OMG), http://www.uml.org

# **3 Terms and Definitions**

- For the purposes of this document, the following terms and definitions apply. For the purposes of this document, the terms and definitions given in <u>DSP1033</u> and <u>DSP1001</u> also apply.
- 206 3.1
- 207 can
- 208 used for statements of possibility and capability, whether material, physical, or causal

209	3.2
210	cannot
211	used for statements of possibility and capability, whether material, physical, or causal
212	3.3
213	conditional
214 215	indicates requirements to be followed strictly to conform to the document when the specified conditions are met
216	3.4
217	mandatory
218 219	indicates requirements to be followed strictly to conform to the document and from which no deviation is permitted
220	3.5
221	may
222	indicates a course of action permissible within the limits of the document
223	3.6
224	need not
225	indicates a course of action permissible within the limits of the document
226	3.7
227	optional
228	indicates a course of action permissible within the limits of the document
229	3.8
230	referencing profile
231	indicates a profile that owns the definition of this class and can include a reference to this profile in its
232	"Referenced Profiles" table
233	3.9
234	shall
235	indicates requirements to be followed strictly to conform to the document and from which no deviation is
236	permitted
237	3.10
238	shall not
239 240	indicates requirements to be followed strictly to conform to the document and from which no deviation is permitted
241	3.11
242	should
243 244	indicates that among several possibilities, one is recommended as particularly suitable, without mentioning or excluding others, or that a certain course of action is preferred but not necessarily required
245	3.12
246	should not
247	indicates that a certain possibility or course of action is deprecated but not prohibited

# 248 **3.13**

# 249 unspecified

250 indicates that this profile does not define any constraints for the referenced CIM element or operation

- 251 **3.14**
- 252 policy language
- 253 refers to CQL and CIM-SPL as the two defined languages

# 255 4 Symbols and Abbreviated Terms

- 256 **4.1**
- 257 CQL
- 258 CIM Query Language
- 259 **4.2**
- 260 CIM-SPL
- 261 CIM Simplified Policy Language

# 262 5 Synopsis

- 263 Profile Name: *Policy*
- 264 Version: 1.0.0e
- 265 Organization: DMTF
- 266 CIM schema version: 2.12
- 267 Central Class: CIM\_PolicyRule
- 268 Scoping Class: CIM\_System

This abstract profile specification shall not be directly implemented; implementations shall be based on a profile specification that specializes the requirements of this profile.

The *Policy Profile* is an abstract profile that extends the management capability of referencing profiles by adding the capability to represent policies that apply to Managed Elements and optionally define specific

273 CQL or CIM-SPL statements that represent the policies. The profile is to be specialized by profiles that

- 274 define specific policy algorithms and optionally define CQL or CIM-SPL statements for particular policies.
- Table 1 lists profiles upon which this profile has a dependency.
- 276

#### Table 1 – Referenced Profiles

Profile Name	Organization	Version	Relationship	Behavior

# 277 **6 Description**

278 The *Policy Profile* is an abstract profile that defines the CIM elements and constraints for specifying

policies that affect Managed Elements. Architecture/implementation details are out of the scope of thisabstract profile.

A *policy* defines actions that are taken under a set of conditions. Generally, a policy rule is automated. A policy defines what is to be done in the users stead and under what circumstances. The policy rule itself is generally not the entity that carries out the action. Instead, a policy rule is assumed to be the entity that directs the change. Just as when an end user requests that a host reboot, that user is not the entity that

informs the host hardware to reboot directly; policy rules request that the action be taken on its behalf. Assuch, a policy rule reacts to its own environment.

A condition is expressed as the state of one or more Managed Elements. The description of the state could be simple or complex. It can be described in words or could be defined in terms of values of the properties of the CIM instances.. Regardless of the complexity of the state in the condition, the condition itself defines state criteria that are considered as a whole. If the states of the Managed Elements are as defined in the condition, then the condition itself is evaluated as TRUE. Conditions could be notified of a state change that is triggered.

An *action* defines how the state ought to change as a result of the action itself being invoked. A policy action defines that a single action is taken. Actions can succeed or fail. The action could be described in words or defined in terms of the properties or methods of CIM instances.

A policy rule is evaluated. A policy rule can be assumed to be executed periodically. A PolicyRule may be associated with one or more policy time periods, indicating the schedule according to which the policy rule is active. At all times that fall outside these time periods, the PolicyRule is inactive. A PolicyRule is treated as active at ALL times, if it does not specify a PolicyTimePeriodCondition. The policy rule is executed by a special type of policy that depends on time or could be executed on a schedule defined elsewhere. Otherwise, a policy rule could be evaluated as a result of its trigger conditions being notified of

a state change.

303 During the policy evaluation, the states defined in the associated conditions are compared to the actual

states of the related Managed Elements. Each condition is considered in order. The order could be defined explicitly or implicitly. Implicitly ordered conditions are those that depend on the output of the evaluation of other conditions in order to be evaluated. If enough conditions are evaluated to be TRUE, the actions are then taken. Likewise, actions could be ordered explicitly or implicitly. Implicitly ordered actions are those that require the evaluation of conditions or execution of actions for themselves to be executed.

Policy rules must be defined in terms of a response. The policy rule must be defined without dependingon other policy rules.

Figure 1 represents the class schema for the *Policy Profile*. For simplicity, the prefix CIM\_ has been removed from the names of the classes.

314 Functionality within the scope of this profile includes:

 a specification of the CIM\_PolicyRule class and optional related CIM\_PolicyCondition and CIM\_PolicyAction classes

317 Functionality explicitly excluded from the scope of this profile includes how the specific CQL or CIM-SPL

318 definitions for policy conditions and actions might be modified by the client. This is defined further in 319 specialized profile.



320

321

|--|

## 322 6.1 Whitebox Policies

The term "Whitebox" is applied to policies that have policy rules that can be inspected. Whitebox policy rules are defined in terms of policy language statements that directly interact with the model. As such, special subclasses of CIM\_PolicyCondition and CIM\_PolicyAction, CIM\_QueryCondition and CIM\_MethodAction respectively, are used to contain the expression of how the CIM representation or state of the model causes the policy to act, and what CIM action is imposed on the model as a result.

The benefit of Whitebox policies is that they can be inspected, they are programmatically deterministic, and they have precisely the same meaning and result to observers without any prior knowledge. Given

- the CIM and the CQL standard and this definition of the behavior and rules of policies, the policy rule
- algorithms as expressed in CQL can be interpreted by both the CIM clients that read them and the
- 332 systems that host and evaluate them.

A CIM\_QueryCondition instance contains a CQL statement that itself expresses the state whose attainment results in the condition being evaluated as TRUE. When such a CQL statement is evaluated, the CQL is executed and a result is produced. This result is available to other conditions and actions that are members of the policy rule. If the Query Condition produces an empty result, it is considered to be evaluated as FALSE. The result itself can be referenced as a CIM class in CQL construct by using its QueryResultName property.

A CIM\_QueryCondition may be dependent on the results of other queries. Specifically, a condition may be dependent on other conditions. This result may be joined with other classes or other results to produce additional results. A dependence of several conditions might be produced such that the set resembles a decision tree. The least dependent conditions must be evaluated first. The most dependent conditions must be evaluated last.

A CIM\_MethodAction instance defines the query that itself builds an intrinsic or extrinsic method call. The components of this query must contain first the object name of the method to be called and, thereafter, a list of named parameters. The parameter names and types must match those of the method declaration. Type conversion is supplied by the associated CQL implementation. The result of the selected list applies to the referenced method as input parameters. If the result of the query produces an empty result, the method will not be executed. Such an execution must be considered successful. The method is executed once for every row of the results, which in turn provides the parameters for each method call.

When the CIM\_MethodAction query executes, it must apply its action to the model. The result of the method execution shall be the list of output parameters for the method; each, in turn, shall be identifiable by its parameter name.

The query result of the conditions and the method result of the action may be used by other actions to construct method calls.

Such policies can take several execution paths based on the conditions that are evaluated as TRUE and the actions taken as a result. The effect of some of the conditions evaluated as TRUE may result in only some of the actions being taken. For a given policy rule, a different set of conditions may produce a different set of actions depending on the evaluation.

# 360 6.2 Blackbox Policies

The "Blackbox" term is applied to policies that have condition and action algorithms defined but cannot be inspected through the CIM model. Blackbox policy rules are represented in CIM by policy rule names or labels, but they are not accompanied by classes that contain specific condition or action query strings. The model manipulation algorithms that represent a Blackbox policy is defined in a profile that describes the algorithms in sufficient detail for consistent implementation by instrumentation.

366 Determining whether a policy rule represents a blackbox policy can be accomplished by inspecting

367 whether an instance of CIM\_RegisteredProfile, which specifies a blackbox policy is associated with an

- instance of CIM\_PolicyRule, which represents the policy rule in question, via the
- 369 CIM\_ElementConformsToProfile association.

# 370 **7 Implementation**

371 This section details requirements and guidelines for propagating and formulating certain properties of the

classes of this profile. Required methods are described in section 8 ("Methods"), and properties are
 described in section 10 ("CIM Elements").

# 374 7.1 CIM\_QueryCondition (Optional)

Instances of CIM\_ QueryCondition are optional and may be used to define the criteria for generating a set of query results that are accessible to other QueryConditions or MethodActions of the same PolicyRule.

377

# 378 **7.2** CIM\_MethodAction (Optional)

- 379 Instances of CIM\_ MethodAction are optional and may be used to invoke methods as defined by a query.
- 380

# 381 7.3 CIM\_CompoundPolicyAction (Optional)

Instances of CIM\_CompoundPolicyAction are optional and may be used to represent an expression that
 consists of an ordered sequence of actions represented by instances of the CIM\_PolicyAction class.

The ordering of action terms shall be represented by instances of the CIM\_PolicyActionInPolicyAction aggregation.

#### 386 **7.3.1** Action Sequences

Each action in an explicit action sequence is represented by a CIM\_PolicyAction instance aggregated by
 a CIM\_PolicyActionInPolicyAction instance.

389 The order of the actions in the sequence shall be specified by the ActionOrder property of each

390 CIM\_PolicyActionInPolicyAction aggregation instance. An ActionOrder property value of 0 shall mean that

391 the aggregated CIM\_PolicyAction instance may be executed at any time in the execution sequence. Non-

392 zero values of ActionOrder indicate a relative priority, in which lower numbers shall be executed before

higher numbers. Two or more instances of CIM\_PolicyAction aggregated with the same non-zero value

394 may be executed in any order, but after all instances associated with lower non-zero values and before all 395 instances with higher non-zero values.

- The optional SequencedActions property of CIM\_CompoundPolicyAction specifies the order of execution of the actions in the sequence.
- The optional ExecutionStrategy property of CIM\_CompoundPolicyAction defines the strategy to be used in executing the action sequence.
- 400

# 401 **7.4 CIM\_CompoundPolicyCondition (Optional)**

Instances of CIM\_CompoundPolicyCondition are optional and shall be used to represent a condition
 expression. Instances of CIM\_PolicyCondition are composed into a condition expression by instances of

- 404 the CIM PolicyConditionInPolicyCondition aggregation.
- 405 The CIM\_PolicyCondition instances shall be aggregated into an instance of
- 406 CIM\_CompoundPolicyCondition forming a set of conditions.

407 ConditionListType is an optional property of a CIM\_CompoundPolicyCondition instance. It is used with

408 the GroupNumber and ConditionNegated properties of each CIM\_PolicyConditionInPolicyCondition

409 aggregation instance to collectively specify an explicit condition expression. The set of individual terms of

an explicit condition expression is represented by the aggregated CIM\_PolicyCondition instances.

411 The set of terms is divided into one or more subsets. Two instances of CIM\_PolicyCondition that belong

- to the same explicit condition expression shall have the same value for the
- 413 CIM\_PolicyConditionInPolicyCondition.GroupNumber property for the instances of

- 414 CIM\_PolicyConditionInPolicyCondition that associate them to an instance of
- 415 CIM\_CompoundPolicyCondition.
- The ConditionNegated property specifies whether the associated condition must be negated when
- 417 evaluated in the condition expression.
- 418 The ConditionListType property shall have one of the following two values:
- Disjunctive Normal Form (DNF): Each term is evaluated for TRUE or FALSE. If the ConditionNegated property is TRUE for the term, the term's evaluation result is negated. Then, the evaluation result for each term that belongs to a particular subset (the same GroupNumber) is joined together with AND. Finally the result of evaluating each subgroup is joined together with OR.
- Conjunctive Normal Form (CNF): Each term is evaluated for TRUE or FALSE. If the
   ConditionNegated property is TRUE for the term, the term's evaluation result is negated. Then,
   the evaluation result for each term that belongs to a particular subset (the same GroupNumber)
   is joined together with OR. Finally the result of evaluating each subgroup is joined together with
   AND.
- 429 The Disjunctive Normal Form (DNF) is the default value for ConditionListType.

## 430 7.5 CIM\_ElementInPolicyRoleCollection (Optional)

- 431 An instance of CIM\_ElementInPolicyRoleCollection is an optional aggregation that may be used to 432 associate a CIM\_ManagedElement instance with an instance of CIM\_PolicyRoleCollection.
- 433 Use of this association shall indicate that instances of CIM\_PolicySet, which are aggregated through
- 434 CIM\_PolicySetInRoleCollection into the referenced instance of CIM\_PolicyRoleCollection, may be applied 435 to the referenced instance of CIM\_ManagedElement.
- 436 Constraints on the behavior of the policy as applied to instances of CIM\_ManagedElement may be further 437 specified in a specialized policy profile.
- 438

# 439 **7.6 CIM\_PolicyAction (Optional)**

- Instances of the abstract class CIM\_PolicyAction are optional. Each instance represents an explicitspecification of an action.
- 442 CIM\_PolicyAction instances may be aggregated by CIM\_PolicyActionInPolicyRule into one or more 443 instances of CIM\_PolicyRule or by CIM\_PolicyActionInPolicyAction into one or more instances of
- 444 CIM\_CompoundPolicyAction. These associations, together with the aggregating instances, define the 445 execution order of an aggregated set of CIM PolicyAction instances.
- 446 If a CIM\_PolicyAction instance may be reused, it shall be aggregated into one
- 447 CIM\_ReusablePolicyContainer instance.

# 448 **7.7 CIM\_PolicyActionInPolicyAction (Optional)**

- 449 Depending on rules within the aggregating CIM\_CompoundPolicyAction instance, the execution
- 450 sequence of the set of aggregated CIM\_PolicyAction instances may be enforced. In that case, the value
- 451 of the optional ActionOrder property of each instance affects the execution sequence of the aggregated
- 452 CIM\_PolicyAction instance. If the ActionOrder property is not specified, or if it is specified with a value of
- 453 NULL, the property shall have the value 0.
- 454 If the order of action is enforced, the execution sequence covers the set of CIM\_PolicyAction instances
- 455 that are associated through CIM\_PolicyActionInPolicyAction to the same instance of
- 456 CIM\_CompoundPolicyAction. In this case, a value of 0 shall mean that the aggregated CIM\_PolicyAction

- 457 instances may be executed at any time in the execution sequence. Non-zero values of ActionOrder
- 458 indicate a relative priority, in which lower numbers shall be executed before higher numbers. Two or more
- instances of CIM\_PolicyAction aggregated with the same non-zero value may be executed in any order,
- but after all instances associated with lower non-zero values and before all instances with higher non-
- 461 zero values.

# 462 **7.8 CIM\_PolicyActionInPolicyRule (Optional)**

An instance of CIM\_PolicyActionInPolicyRule is an optional aggregation that may be used to explicitly specify that an action, represented by an instance of CIM\_PolicyAction, shall be executed if the conditions specified for the referenced instance of CIM\_PolicyRule are met.

- 466 Depending on rules within the aggregating CIM\_PolicyRule instance, the execution sequence of the set of 467 aggregated CIM\_PolicyAction instances may be enforced. In that case, the value of the optional
- 468 ActionOrder property of each instance affects the execution sequence of the aggregated
- 469 CIM\_PolicyAction instances. If the ActionOrder property is not specified, or if it is specified with a value of 470 NULL, the property shall have the value 0.
- 471 If the order of action is enforced, the execution sequence covers the set of CIM\_PolicyAction instances
- that are associated by CIM\_PolicyActionInPolicyRule to the same instance of CIM\_PolicyRule. In this
- case, a value of 0 shall mean that the aggregated CIM\_PolicyAction instances may be executed at any
- time in the execution sequence. Non-zero values of ActionOrder indicate a relative priority, in which lower
- numbers shall be executed before higher numbers. Two or more instances of CIM\_PolicyAction
- aggregated with the same non-zero value may be executed in any order, but after all instances
- 477 associated with lower non-zero values and before all instances with higher non-zero values.

# 478 **7.9** CIM\_PolicyCondition (Optional)

- 479 Instances of the abstract class CIM\_PolicyCondition are optional. Each instance represents an explicit480 specification of a condition.
- 481 CIM\_PolicyCondition instances may be aggregated by CIM\_PolicyConditionInPolicyRule into one or more
- 482 instances of CIM\_PolicyRule or by CIM\_PolicyConditionInPolicyCondition into one or more instances of
- 483 CIM\_CompoundPolicyCondition. These associations, together with the aggregating instances, define an
- 484 expression made up of a set of condition terms represented by CIM\_PolicyCondition instances.
- 485 If a CIM\_PolicyCondition instance may be reused, it shall be aggregated into one
- 486 CIM\_ReusablePolicyContainer instance.

# 487 **7.10** CIM\_PolicyConditionInPolicyCondition (Optional)

- The GroupNumber is an optional property used to produce subsets of the set of condition terms. If the
- 489 GroupNumber property is not specified, or if it is specified with a value of NULL, the property shall have 490 the value 0.
- 491 ConditionNegated is an optional property used to indicate whether the aggregated CIM\_PolicyCondition 492 instance should be negated (TRUE) or not (FALSE). If the ConditionNegated property is not specified, or
- 493 if it is specified with a value of NULL, the property shall have the value FALSE.

# 494 7.11 CIM\_PolicyConditionInPolicyRule (Optional)

- The CIM\_PolicyCondition instances aggregated into an instance of CIM\_PolicyRule form a set of condition terms.
- 497 GroupNumber is an optional property that may be used to produce subsets of the set of condition terms.
- 498 If the GroupNumber property is not specified, or if it is specified with a value of NULL, the property shall
- 499 have the value 0.

- 500 ConditionNegated is an optional property used to indicate whether the aggregated CIM PolicyCondition
- 501 instance shall be negated (TRUE) or not (FALSE). If the ConditionNegated property is not specified, or if it is specified with a value of NULL, the property shall have the value FALSE.
- 502

#### 7.12 CIM PolicyRoleCollection (Optional) 503

- 504 Instances of CIM PolicyRoleCollection are optional collections of CIM PolicyRule instances that 505 collectively meet a particular set of policy roles within a system.
- 506 These policy roles shall be represented using the Role Property. The value shall have the form:
- 507 <RoleName>["&&"<RoleName>]\*, where the individual role names appear in alphabetical order
- 508 (according to the collating sequence for UCS-2). Implementations may treat Role property values that are 509 specified as "role combinations" as simple strings.
- Each instance of CIM PolicyRoleCollection shall be associated with exactly one CIM System instance 510 511 through an instance of CIM PolicyRoleCollectionInSystem.
- 512 Each CIM PolicyRule instance required to meet a particular policy role shall be aggregated into an 513 instance of CIM PolicyRoleCollection by an instance of CIM PolicySetInRoleCollection.

#### 7.13 CIM PolicyRoleCollectionInSystem (Conditional) 514

- 515 An instance of CIM PolicyRoleCollectionInSystem is a conditional association that shall be used to
- 516 establish a relationship between an instance of CIM PolicyRoleCollection and a Scoping Instance, such
- as an AdminDomain or ComputerSystem. When the optional behavior specified in section 7.13 is 517
- 518 supported, the instance of this class is used to associate the in an instance of CIM\_PolicyRoleCollection 519 to a Scoping instance.

#### 520 7.14 CIM PolicyRule

- 521 CIM PolicyRule is the central class of this profile and at least one instance is mandatory.
- 522 CIM PolicyRule instances represent 'If <condition expression> then {<action sequence>}' semantics.
- 523 The condition expression and action sequence of a CIM\_PolicyRule may be specified implicitly, explicitly, 524 or both.
- Each instance of CIM PolicySetValidityPeriod creates an implicit condition on the referenced 525
- 526 CIM\_PolicyRule. Evaluation of that rule is conditional on the referenced CIM\_PolicyTimePeriodCondition.

#### 527 7.14.1 Condition Expressions

- 528 The set of individual terms of an explicit condition expression are represented by CIM PolicyCondition 529 instances that are aggregated by CIM PolicyConditionInPolicyRule instances.
- 530 The ConditionListType property of a CIM PolicyRule instance together with the GroupNumber and
- 531 ConditionNegated properties of each CIM\_PolicyConditionInPolicyRule aggregation instance collectively 532 specify an explicit condition expression.
- 533 The set of terms is divided into one or more subsets. Each subset is defined by a different value for the 534 GroupNumber property.
- 535

#### 7.14.2 Evaluation Schedule 536

537 A CIM\_PolicyRule may also be associated with a set of CIM\_PolicyTimePeriodCondition instances that are each aggregated by an instance of CIM PolicySetValidityPeriod. Collectively, this set specifies the 538 539 schedule according to which the CIM PolicyRule is active and inactive.

#### 540 7.14.3 Rule Composition

- 541 An instance of CIM\_PolicyRule may use a rule set defined by instances of the CIM\_PolicySetComponent 542 association to associate CIM\_PolicyRule instances. Such a rule is known as a composite rule.
- 543 The implicit and explicit conditions of the aggregating rule apply to each of the subordinate rules.
- 544 Any side effects of condition evaluation or the execution of actions shall not affect the result of the
- evaluation of other conditions evaluated. That is, the conditions in the composite rule may be evaluated in
- any order before applying the priority and determining which actions are to be executed.
- 547 If the conditions of the composite rule are met, its action sequence shall be executed after all applicable 548 actions of subordinate rules are executed.
- 549 The Priority property of CIM\_PolicySetComponent defines the order of execution of CIM\_PolicyRule 550 instances in a rule set. The value of Priority shall be a unique, non-negative integer value, relative to all 551 Priority values in the rule set. The actions of an aggregated CIM\_PolicyRule instance with a numerically 552 higher value of Priority shall be executed before the actions of an aggregated instance with a lower 553 Priority value.
- 554 PolicyDecisionStrategy is a conditional property that shall be used in a composite rule to define the 555 evaluation method used for policies contained in its rule set. The PolicyDecisionStrategy property is
- 556 conditional on instance of CIM\_PolicyRule being referenced by an instance of CIM\_PolicySetComponent.

# 557 **7.15 CIM\_PolicyRuleInSystem**

558 CIM\_PolicyRuleInSystem instances are a mandatory scoping association that links a CIM\_PolicyRule 559 instance to the CIM\_System instance in whose scope the rule is defined.

# 560 7.16 CIM\_PolicySetAppliesToElement (Optional)

An instance of CIM\_PolicySetAppliedToElement may be used to define what managed elements can be affected by the successful execution of the policy. A specialization of this profile may use other mechanism, like CQL or CPL, to define the managed elements that can be affected by the policy. If a specialization of this profile relies on this association, then that profile shall define how the association is used to define the set of managed element affected by policy, accounting for the interaction of that definition with other set definition mechanisms like CPL if used.

# 567 **7.17 CIM\_PolicySetComponent (Optional)**

- 568 A CIM\_PolicySetComponent instance is an optional aggregation used to create a composite rule. The set 569 of aggregated CIM\_PolicyRule instances forms a rule set that is evaluated in the order specified by the 570 mandatory Priority property.
- 571 The Priority property of CIM\_PolicySetComponent is a mandatory property defines the order of execution 572 of CIM\_PolicyRule instances in a rule set.

# 573 7.18 CIM\_PolicySetInRoleCollection (Optional)

- 574 An instance of CIM\_PolicySetInRoleCollection is an optional aggregation used to define a set of
- 575 CIM\_PolicyRule instances that are necessary to satisfy a policy role defined by the referenced 576 CIM\_PolicyRoleCollection instance.

# 577 **7.19 CIM\_PolicySetValidityPeriod (Optional)**

578 An instance of the optional CIM\_PolicySetValidityPeriod aggregation associates an instance of 579 CIM\_PolicyTimePeriodCondition with an instance of CIM\_PolicySet.

- 580 A Time Period may be aggregated by multiple CIM\_PolicySets. A set that does not point to a
- 581 CIM\_PolicyTimePeriodCondition through this association, from the scheduling perspective, is always in a valid time period.

# 583 **7.20** CIM\_PolicyTimePeriodCondition (Optional)

- 584 Each instance of this optional class provides a means of representing the time periods.
- 585 One use is to govern when an instance of CIM PolicyRule is active when aggregated through
- 586 CIM PolicySetValidityPeriod. At all times that fall outside these time periods, the aggregating
- 587 CIM PolicyRule has no effect. An instance of CIM PolicyRule is active at all times if it does not
- 588 aggregate any instances of CIM\_PolicyTimePeriodCondition.
- 589 A second use of CIM\_PolicyTimePeriodCondition is as an explicit term in a condition expression of an 590 instance of CIM\_PolicyRule or CIM\_CompoundPolicyCondition. Using CIM\_PolicyTimePeriodCondition in 591 this way enables the inclusion of time-based criteria in the condition expressions.
- 592 The TimePeriod property shall match the pattern
- 593 "^[0123456789]{8}T[0123456789]{6}/[0123456789]{8}T[0123456789]{8}".
- 594 The value of the optional TimePeriod property is formatted as a string that represents a start date and
- time, in which the character 'T' indicates the beginning of the time portion, followed by the slash character
- 596 (/), followed by a similar string that represents an end date and time. The first date indicates the beginning

of the range; the second date indicates the end. The second date and time shall be later than the first.

- 598 Date/times are expressed as substrings of the form yyyymmddThhmmss. For example,
- 599 20000101T080000/20000131T120000 defines January 1, 2000, 0800 through January 31, 2000, noon.
- 600 In the following special cases, one of the date/time strings may be replaced with a special string defined 601 in RFC 2445:
- If the first date/time is replaced with the string 'THISANDPRIOR', the property indicates that a PolicySet is valid [from now] until the date/time that appears after the slash character (/).
- If the second date/time is replaced with the string 'THISANDFUTURE', the property indicates
   that a PolicySet becomes valid on the date/time that appears before the slash character (/) and
   remains valid from that point on.
- 607 When the TimePeriod property is NULL, the CIM\_PolicyTimePeriodConditon shall evaluate to TRUE.
- The value of the optional MonthOfYearMask property refines the valid time period that is defined by the TimePeriod property by explicitly specifying the months during which the condition may evaluate to TRUE. This property is formatted as an octet string, structured as follows:
- A 4-octet length field, indicating the length of the entire octet string. This field is always set to 0x0000006 for this property.
- A 2-octet field consisting of 12 bits identifying the 12 months of the year, beginning with January and ending with December, followed by 4 bits that are always set to 0. For each month, the value 1 indicates that the policy is valid for that month, and the value 0 indicates that it is not valid.
- 617 The MonthOfYearMask value 0x00000060830, for example, indicates that the condition evaluates to 618 TRUE only in the months May, November, and December.

619 If MonthOfYearMask is not specified, or the value is set to NULL, all months of the year are specified for 620 the purpose of evaluating the condition (effectively a value of 0x0000006FFF0).

- The value of the optional DayOfMonthMask refines the valid time period that is defined by the TimePeriod property by explicitly specifying the days of the month during which the condition may evaluate to TRUE. This property is formatted as an octet string, structured as follows:
- A 4-octet length field, indicating the length of the entire octet string. This field is always set to 0x0000000C for this property.
- An 8-octet field consisting of 31 bits identifying the days of the month counting from the
  beginning, followed by 31 more bits identifying the days of the month counting from the end,
  followed by 2 bits that are always set to 0. For each day, the value 1 indicates that the PolicySet
  is valid for that day, and the value 0 indicates that it is not valid.
- The DayOfMonthMask value 0x000000C800000010000000, for example, indicates that a PolicySet is
   valid on the first and last days of the month.
- For months with fewer than 31 days, the digits corresponding to days that the months do not have (counting in both directions) are ignored.
- 634 If a DayOfMonthMask value for this property is not provided, or the value is set to NULL, all days of the 635 month are treated as valid for the purpose of evaluating this condition (effectively a value of 636 0x000000CFFFFFFFFFFFFFFFFF).
- The value of the optional DayOfWeekMask refines the valid time period that is defined by the TimePeriod
   property by explicitly specifying the days of the week during which the condition may evaluate to TRUE.
   This property is formatted as an octet string, structured as follows:
- A 4-octet length field, indicating the length of the entire octet string. This field is always set to 0x00000005 for this property.
- A 1-octet field consisting of 7 bits identifying the 7 days of the week, beginning with Sunday and
   ending with Saturday, followed by 1 bit that is always set to 0. For each day of the week, the
   value 1 indicates that the PolicySet is valid for that day, and the value 0 indicates that it is not
   valid.
- 646 The DayOfWeekMask value 0x00000057C, for example, indicates that a PolicySet is valid Monday 647 through Friday.
- 648 If a DayOfWeekMask value for this property is not provided, or the value is set to NULL, all days of the 649 week are treated as valid for the purpose of evaluating this condition (effectively a value of 650 0x0000005FE).
- The TimeOfDayMask property shall match the pattern "^T[0123456789]{6}/T[0123456789]{6} \$".
- The value of the optional TimeOfDayMask property refines the valid time period that is defined by the TimePeriod property by explicitly specifying a range of times in a day during which the condition may evaluate to TRUE. This property is formatted in the style of RFC 2445: a time string beginning with the character 'T', followed by the slash character (/), followed by a second time string. The first time indicates the beginning of the range; the second time indicates the end. Times are expressed as substrings of the form 'Thhmmss'.
- The second substring always identifies a later time than the first substring. To allow for ranges that span
  midnight, however, the value of the second substring may be smaller than the value of the first substring.
  Thus, 'T080000/T210000' identifies the range from 0800 to 2100, while 'T210000/T080000' identifies the
  range from 2100 to 0800 the following day.
- 662 When a range spans midnight, it includes parts of two successive days. When one of these days is also 663 selected by the MonthOfYearMask, DayOfMonthMask, or DayOfWeekMask property, but the other day is 664 not, then the condition evaluates to TRUE only during the portion of the range that falls on the selected 665 day. For example, if the range extends from 2100 to 0800, and the DayOfWeekMask property selects 666 Monday and Tuesday, the PolicySet is active during the following three intervals:

- From midnight Sunday until 0800 Monday
- From 2100 Monday until 0800 Tuesday
- From 2100 Tuesday until 23:59:59 Tuesday

670 If a TimeOfDayMask value is not provided or the value is set to NULL, the condition may evaluate to 671 TRUE for all hours of the day (effectively a value of 'T000000/T240000').

672 The value of the optional LocalOrUtcTime property indicates whether the times represented in the TimePeriod property and in the various Mask properties represent local times or UTC times. There is no 673 674 provision for mixing local times and UTC times; the value of this property applies to all of the other time-675 related properties. Time periods are synchronized worldwide by using the enumeration value 'UTCTime'. If the goal is to synchronize worldwide on a particular local time (such as 0300 - 0500 in New York), and if 676 the TimePeriod property spans a Daylight Saving Time transition in New York, it will be necessary to 677 create multiple instances of CIM PolicyTimePeriodCondition, one based on the offset UTC-0500 for the 678 679 part of each year when standard time is used in New York, and one based on the offset UTC-0400 for the 680 part of each year when Daylight Saving Time is used there.

# 681 7.21 CIM\_ReusablePolicy (Optional)

- 682 Instances of the optional CIM\_ReusablePolicy association indicate that the referenced instance of
- 683 CIM\_PolicyRule, CIM\_PolicyAction, or CIM\_PolicyCondition is a member of an instance of
- 684 CIM\_ReusablePolicyContainer.

# 685 **7.22** CIM\_ReusablePolicyContainer (Optional)

686 This optional class represents an administratively defined container for reusable instances of

- 687 CIM\_PolicyRule, CIM\_PolicyAction, or CIM\_PolicyCondition. This class does not introduce any additional 688 properties beyond those in its superclass AdminDomain.
- 689 Even if an instance of CIM\_PolicyRule and CIM\_PolicyCondition is not associated to an instance of
- 690 CIM\_ReusablePolicyContainer through CIM\_ReusablePolicy, the instance of CIM\_PolicyRule or
- 691 CIM\_PolicyCondition may be aggregated into one or more instances of CIM\_PolicyRule.
- 692 Each instance of this class shall use the NameFormat value "ReusablePolicyContainer".

# 693 8 Methods

This section details the requirements for supporting intrinsic operations and extrinsic methods for the CIM elements defined by this profile.

# 696 8.1 CIM\_PolicyRoleCollection. ActivatePolicySet()

697 The mandatory method CIM\_PolicyRoleCollection.ActivatePolicySet() takes as input a reference to a 698 CIM\_ManagedElement. The CIM\_ManagedElement shall be a member of the CIM\_PolicyRoleCollection, 699 associated through an instance of ElementInPolicyRoleCollection. The result of this method, if it is 700 successfully executed, is that the aggregated CIM\_PolicySets are deployed and enforced for the 701 referenced element. This is reflected by the instantiation of the CIM\_PolicySetAppliesToElement 702 association between the referenced element and each instance of CIM\_PolicySet.

703

# 704 8.2 CIM\_PolicyRoleCollection. DeactivatePolicySet()

The mandatory method CIM\_PolicyRoleCollection. DeactivatePolicySet() takes as input a reference to a
 CIM\_ManagedElement. The CIM\_ManagedElement shall be a member of the CIM\_PolicyRoleCollection,
 associated through an instance of ElementInPolicyRoleCollection. The result of this method, if it is

- successfully executed, is that the aggregated CIM\_PolicySets are not enforced for the referenced
- rog element. This is reflected by the removal of the CIM\_PolicySetAppliesToElement association instance, if
- 710 one exists, between the referenced element and each collected CIM\_PolicySet.

# 711 8.3 **Profile Conventions for Operations**

Support for operations for each profile class (including associations) is specified in the following
 subclauses. Each subclause includes either the statement "All operations are supported as described by
 <u>DSP0200 version 1.2</u>" or a table listing all the operations that are not supported by this profile or where
 the profile requires behavior other than that described by <u>DSP0200 version 1.2</u>.

- 716 The default list of operations is as follows:
- 717 GetInstance
- 718 EnumerateInstances
- EnumerateInstanceNames
- 720 Associators
- AssociatorNames
- 722 References
- 723 ReferenceNames
- A compliant implementation shall support all the operations in the default list for each class, unless the "Requirement" column states something other than *Mandatory*.

## 726 8.4 CIM\_QueryCondition

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

## 728 8.5 CIM\_MethodAction

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

# 730 8.6 CIM\_PolicyRule

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

## 732 8.7 CIM\_PolicyCondition

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

# 734 8.8 CIM\_PolicyAction

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

# 736 8.9 CIM\_PolicySetAppliesToElement

Table 2 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or
 shall not be supported.

739

Table 2 – Operations: CIM\_PolicySetAppliesToElement

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

## 740 8.10 CIM\_PolicyConditionInPolicyRule

Table 3 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

743

#### Table 3 – Operations: CIM\_PolicyConditionInPolicyRule

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 744 8.11 CIM\_PolicyActionInPolicyRule

Table 4 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

#### Table 4 – Operations: CIM\_PolicyActionInPolicyRule

#### 748 8.12 CIM\_CompoundPolicyAction

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

# 750 8.13 CIM\_CompoundPolicyCondition

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

#### 752 8.14 CIM\_ElementInPolicyRoleCollection

Table 5 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

755

747

#### Table 5 – Operations: CIM\_ElementInPolicyRoleCollection

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

756

# 757 8.15 CIM\_PolicyActionInPolicyAction

Table 6llists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

760

#### Table 6 – Operations: CIM\_PolicyActionInPolicyAction

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None

AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 761 8.16 CIM\_PolicyConditionInPolicyCondition

Table 7 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

764

#### Table 7 – Operations: CIM\_PolicyConditionInPolicyCondition

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 765 8.17 CIM\_PolicyRoleCollection

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

767

# 768 8.18 CIM\_PolicyRoleCollectionInSystem

Table 8 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

771

#### Table 8 – Operations: CIM\_PolicyRoleCollectionInSystem

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 773 8.19 CIM\_PolicyRuleInSystem

Table 9 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

776

#### Table 9 – Operations: CIM\_PolicyRuleInSystem

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 777 8.20 CIM\_PolicySetComponent

Table 10 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u>
 or shall not be supported.

780

#### Table 10 – Operations: CIM\_PolicySetComponent

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 781 8.21 CIM\_PolicySetInRoleCollection

Table 11 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u>
 or shall not be supported.

784

#### Table 11 – Operations: CIM\_PolicySetInRoleCollection

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

# 785 8.22 CIM\_PolicySetValidityPeriod

Table 12lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u> or shall not be supported.

7	Q	Q
1	o	o

#### Table 12 – Operations: CIM\_PolicySetValidityPeriod

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

## 789 8.23 CIM\_PolicyTimePeriodCondition

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

791

# 792 8.24 CIM\_ReusablePolicy

Table 13 lists operations that either have special requirements beyond those from <u>DSP0200 version 1.2</u>
 or shall not be supported.

795

#### Table 13 – Operations: CIM\_ReusablePolicy

Operation	Requirement	Messages
EnumerateInstances	Unspecified	None
EnumerateInstanceNames	Unspecified	None
Associators	Unspecified	None
AssociatorNames	Unspecified	None
References	Unspecified	None
ReferenceNames	Unspecified	None

## 796 **8.25 CIM\_ReusablePolicyContainer**

All operations in the default list in section 8.3 are supported as described by DSP0200 version 1.2.

798

# 799 9 Use Cases

800 This section contains object diagrams and use cases for the *Policy Profile*.

## 801 9.1 Object Diagrams

Figure 2 and Figure 3 represent possible policy definitions in a specialized policy profile. The two approaches represented are the Blackbox approach in which the specialized profile defines a policy algorithm, policy name, and policy registered profile. Figure 3 represents taking the definition a step
 further and defines the actual CQL or CIM-SPL statements that represent the policy algorithm.

# 806 9.2 Blackbox Policy Object Diagram

807 Figure 2 is an example of a Blackbox definition of CIM\_PolicyRule in which the rule represents a policy

algorithm that has been defined in a specialized policy profile but does not have specific CQL or CIM-SPL defined.





ElementConformsToProfile

RegisteredProfile
RegisteredName : Health Propagation Policy Profile
RegisteredVersion : 1.0.0

810 811

Figure 2 – Blackbox Health Propagation Policy Diagram

# 812 9.3 Propagate HealthState to OperationalStatus

813 Figure 3 is an example of the CIM\_PolicyRule, CIM\_PolicyCondition, CIM\_PolicyAction, and

814 CIM\_RegisteredProfile classes instantiated according to a specialized whitebox policy profile that defines

815 policy for Health State to OperationalStatus propagation within a class.



816

817

#### Figure 3 – Health Propagation Policy Object Diagram

# 818 **10 CIM Elements**

Table 14 shows the requirements for the CIM Elements in this profile. Instances of the following CIM

820 Elements shall be implemented as described in Table 14. Sections 7 ("Implementation") and 8

821 ("Methods") may impose additional requirements on these elements.

822

#### Table 14 – CIM Elements: Policy Profile

Element Name	Requirement	Description
Classes		
CIM_QueryCondition	Optional	See section 7.1 and 10.1
CIM_MethodAction	Optional	See section 7.2 and 10.2

Element Name	Requirement	Description
CIM_PolicyRule	Mandatory	See section 7.14 and 10.3.
CIM_PolicyCondition	Optional	See section 7.9 and 10.4.
CIM_PolicyAction	Optional	See section 7.6 and 10.5.
CIM_PolicySetAppliesToElement	Optional	See section 7.16 and 10.6
CIM_PolicyConditionInPolicyRule	Optional	See section 7.11 and 10.7
CIM_PolicyActionInPolicyRule	Optional	See section 7.8 and 10.8
CIM_CompoundPolicyAction	Optional	See section 7.1 and 10.9
CIM_CompoundPolicyCondition	Optional	See section 7.4 and 10.10
CIM_ElementInPolicyRoleCollection	Optional	See section 7.5 and 10.11
CIM_PolicyActionInPolicyAction	Optional	See section 7.7 and 10.12
CIM_PolicyConditionInPolicyCondition	Optional	See section 7.10 and 10.13
CIM_PolicyRoleCollection	Optional	See section 7.12 and 10.14
CIM_PolicyRoleCollectionInSystem	Conditional	See section 7.13 and 10.15
CIM_PolicyRuleInSystem	Mandatory	See section 7.15 and 10.16
CIM_PolicySetComponent	Optional	See section 7.17 and 10.17
CIM_PolicySetInRoleCollection	Optional	See section 7.18 and 10.18
CIM_PolicySetValidityPeriod	Optional	See section 7.19 and 10.19
CIM_PolicyTimePeriodCondition	Optional	See section 7.20and 10.20
CIM_ReusablePolicy	Optional	See section 7.21 and 10.21
CIM_ReusablePolicyContainer	Optional	See section 7.22and 10.22
Indications		
No Indications defined in this version		

# 823 **10.1 CIM\_QueryCondition**

824 CIM\_QueryCondition defines the criteria for generating a set of query results that are accessible to other 825 QueryConditions or MethodActions of the same PolicyRule. Table 15 contains the requirements for 826 elements of this class.

827

Properties	Requirement	Notes
SystemCreatonClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyConditionName	Mandatory	Кеу
QueryResultName	Mandatory	This property shall be treated as a class name in a query statement.
Query	Mandatory	This property shall be a query expression that may be evaluated and that defines the query results that may be generated.
QueryLanguage	Mandatory	This property shall be the language defined by DSP0200. The language shall be the one in which the query string is expressed.
Trigger		This property shall be a Boolean condition and there shall be no more than one QueryCondition with this property = true associated with a particular Policy.

# Table 15 – Class: CIM\_QueryCondition

# 828 10.2 CIM\_MethodAction

829 CIM\_ MethodAction is a CIM\_PolicyAction that MAY invoke methods as defined by a query. Table 16 830 contains the requirements for elements of this class.

831

#### Table 16 – Class: CIM\_MethodAction

Properties	Requirement	Notes
SystemCreatonClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyActionName	Mandatory	Кеу
InstMethodCallName	Mandatory	This property shall be treated as a class name in a query statement.
Query	Mandatory	This property shall be a query expression that defines the method to invoke and its input parameters.

Properties	Requirement	Notes
QueryLanguage	Mandatory	This property shall be the language defined by DSP0200. The language shall be the one in which the query string is expressed.

# 833 10.3 CIM\_PolicyRule

CIM\_PolicyRule represents the policy rule applied to the associated CIM\_ManagedElement class. Table
 17 contains the requirements for elements of this class.

#### 836

#### Table 17 – Class: CIM\_PolicyRule

Properties	Requirement	Notes
SystemCreatonClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
PolicyDecisionStrategy	Conditional	See section 7.14.3
ElementName	Mandatory	This property shall be formatted as a free- form string of variable length (pattern ".*").

# 837 10.4 CIM\_PolicyCondition

838 CIM\_PolicyCondition represents a specific condition defined in CQL or CIM-SPL for triggering a related 839 CIM\_PolicyAction. Table 18 contains the requirements for elements of this class.

840

#### Table 18 – Class: CIM\_PolicyCondition

Properties	Requirement	Notes
SystemCreationClassName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
PolicyConditionName	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free- form string of variable length (pattern ".*").

#### 10.5 CIM PolicyAction 841

CIM PolicyAction represents a specific action defined in CQL or CIM-SPL to be invoked when a specific 842 policy condition is triggered. Table 19 contains the requirements for elements of this class. 843

844

Properties	Requirement	Notes
SystemCreationClassName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
PolicyActionName	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free- form string of variable length (pattern ".*").

#### Table 19 – Class: CIM\_PolicyAction

#### CIM\_PolicySetAppliesToElement 845 10.6

846

847 CIM PolicySetAppliesToElement associates the CIM PolicySet instance with the CIM ManagedElement

instance whose state is evaluated by policy conditions and may be affected by the successful execution 848

849 of policy actions. The managed element may be an aggregation point for several other managed element. In this role, the existence of this association to this aggregation point represents the set of instances

850

851 affected and evaluated by the policy.

852 Table 20 contains the requirements for elements of this class.

853

#### Table 20 – Class: CIM\_PolicySetAppliesToElement

Elements	Requirement	Notes
PolicySet	Mandatory	This property shall be a reference to the instance of CIM_PolicySet.
		Carolinality
ManagedElement	Mandatory	This property shall be a reference to the instance of CIM_ManagedElement.
		Cardinality *

854

#### 10.7 CIM\_PolicyConditionInPolicyRule 855

856

- 857 CIM PolicyConditionInPolicyRule is an optional aggregation that may be used to compound
- CIM PolicyCondition instances into an instance of CIM PolicyRule. 858
- 859 Table 21 contains the requirements for elements of this class.

#### Table 21 – Class: CIM\_PolicyConditionInPolicyRule

Elements	Requirement	Notes
GroupComponent	Mandatory	This property shall be a reference to the instance of CIM_PolicySetRule.
PartComponent	Mandatory	This property shall be a reference to the instance of CIM_PolicyCondition.
GroupNumber	Optional	This property is an optional property used to produce subsets of the set of condition terms.
ConditionNegated	Oprional	See section 7.11

# 862 **10.8 CIM\_PolicyActionInPolicyRule**

CIM\_PolicyActionInPolicyRule is an optional aggregation that may be used to explicitly specify that an
 action, represented by an instance of CIM\_PolicyAction, shall be executed if the conditions specified for
 the referenced instance of CIM\_PolicyRule are met.

Table 22 contains the requirements for elements of this class.

867

868

#### Table 22 – Class: CIM\_PolicyActionInPolicyRule

Elements	Requirement	Notes
GroupComponent	Mandatory	This property shall be a reference to the instance of CIM_PolicyRule.
ManagedElement	Mandatory	This property shall be a reference to the instance of CIM_PolicyAction.
ActionOrder	Optional	This property is is an unsigned integer 'n ' that indicates the relative position of a PolicyAction in the sequence of actions associated with a PolicyRule or CompoundPolicyAction.

# 869 **10.9 CIM\_CompoundPolicyAction**

870 CIM\_CompoundPolicyAction is an optional class that may be used to represent an expression consisting

of an ordered sequence of action terms. Each action term is represented as a subclass of the

PolicyAction class. Compound actions are constructed by associating dependent action terms togetherusing the PolicyActionInPolicyAction aggregation.

Table 23 contains the requirements for elements of this class.

#### Table 23 – Class: CIM\_CompoundPolicyAction

Elements	Requirement	Notes
SequencedActions	Optional	See Section 7.3.1
ExecutionStrategy	Optional	See Section 7.3
SystemCreationClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyActionName	Mandatory	Кеу

877

# 878 **10.10 CIM\_CompoundPolicyCondition**

CIM\_CompoundPolicyCondition is an optional class that may be used to represent compound conditions
 formed by aggregating simpler policy conditions. Compound conditions are constructed by associating
 subordinate condition terms together using the PolicyConditionInPolicyCondition aggregation.

Table 24 contains the requirements for elements of this class.

883

884

Table 24 – Class: CIM	CompoundPolicyCondition

Elements	Requirement	Notes
SystemCreationClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyConditionName	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free-form string of variable length (pattern ".*").

# **10.11 CIM\_ElementInPolicyRoleCollection**

886 CIM\_ElementInPolicyRoleCollection is an optional association that may be used to aggregate zero or

887 more Managed Element subclass instances into a PolicyRoleCollection object, representing a role played

by these Managed Elements. This Collection indicates that the aggregated PolicySets (aggregated by
 CIM PolicySetInRoleCollection) MAY BE applied to the referenced elements.

CIM\_POlicySetInRoleCollection) MAY BE applied to the referenced eleme

Table 25 contains the requirements for elements of this class.

Table 25 – Class: CIM	_ElementInPolicyRoleCollection
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Elements	Requirement	Notes
Collection	Mandatory	Кеу
Member	Mandatory	Кеу

892

# 894 **10.12 CIM\_PolicyActionInPolicyAction**

- 895 CIM\_PolicyActionInPolicyAction is an optional association that may be used to represent the 896 compounding of policy actions into a higher-level policy action.
- 897 Table 26 contains the requirements for elements of this class.

898

899

#### Table 26 – Class: CIM\_PolicyActionInPolicyAction

Elements	Requirement	Notes
GroupComponent	Mandatory	Кеу
PartComponent	Mandatory	Кеу
ActionOrder	Optional	This property is an unsigned integer ' n ' that indicates the relative position of a PolicyAction in the sequence of actions associated with a PolicyRule or CompoundPolicyAction.

900

# 901 10.13 CIM\_PolicyConditionInPolicyCondition

- 902 CIM\_PolicyConditionInPolicyCondition is an optional association that may be used to aggregate zero or 903 more instances of the PolicyCondition class to a CompoundPolicyCondition.
- Table 27 contains the requirements for elements of this class.

905

906

#### Table 27 – Class: CIM\_PolicyConditionInPolicyCondition

Elements	Requirement	Notes
GroupComponent	Mandatory	Кеу
PartComponent	Mandatory	Кеу
GroupNumber	Optional	See Section 7.10
ConditionNegated	Optional	See Section 7.10

# 907 **10.14 CIM\_PolicyRoleCollection**

908 CIM\_PolicyRoleCollection is an optional class that may be used to to represent a collection of Managed

909 Elements that share a common policy role, and the PolicySets that CAN BE applied to those elements.

910 The PolicyRoleCollection always exists in the context of a System, specified using the

911 PolicyRoleCollectionInSystem aggregation. The value of the PolicyRole property in this class specifies

- 912 the role. It is defined as a free-form string. Managed Elements that share the role defined in this collection
- are aggregated into the Collection via the ElementInPolicyRoleCollection association.
- 914 Table 28 contains the requirements for elements of this class.
- 915

916

#### Table 28 – Class: CIM\_PolicyRoleCollection

Elements	Requirement	Notes
PolicyRole	Mandatory	See Section 7.12
InstanceID	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free-form string of variable length (pattern ".*").

## 917 10.15 CIM\_PolicyRoleCollectionInSystem

918 CIM\_PolicyRoleCollectionInSystem is a conditional association that shall be used to establish a

relationship between an instance of CIM\_PolicyRoleCollection and an "owning" CIM\_System instance,

- such as an AdminDomain or ComputerSystem.
- 921 Table 29 contains the requirements for elements of this class.
- 922
- 923

#### Table 29 – Class: CIM\_PolicyRoleCollectionInSystem

Elements	Requirement	Notes
Antecedent	Mandatory	Кеу
Dependent	Mandatory	Кеу

## 924 **10.16 CIM\_PolicyRuleInSystem**

925 CIM\_PolicyRuleInSystem is a mandatory association that shall be used to link a PolicyRule to the System 926 in whose scope the Rule is defined.

927 Table 30 contains the requirements for elements of this class.

928

929

#### Table 30 – Class: CIM\_PolicyRuleInSystem

Elements	Requirement	Notes
Antecedent	Mandatory	Кеу
Dependent	Mandatory	Кеу

## 930 **10.17 CIM\_PolicySetComponent**

931 CIM\_PolicySetComponent is an optional association that may be used to aggregate the instances of the

subclasses of PolicySet (i.e., PolicyGroups and PolicyRules). Instances are collected in sets that use the

same decision strategy. They are prioritized relative to each other, within the set, using the Priority

property of this aggregation. Together, the PolicySet.PolicyDecisionStrategy and PolicySet

Component Priority properties determine the processing for the groups and rules contained in a

936 PolicySet. A larger priority value represents a higher priority. Note that the Priority property MUST have a

- 937 unique value when compared with others defined for the same aggregating PolicySet. Thus, the
- 938 evaluation of rules within a set is deterministically specified.
- 939 Table 31 contains the requirements for elements of this class.
- 940

#### Table 31 – Class: CIM\_PolicySetComponent

Elements	Requirement	Notes
GroupComponent	Mandatory	Кеу
PartComponent	Mandatory	Кеу
Priority	Mandatory	See section 7.17

# 942 **10.18 CIM\_PolicySetInRoleCollection**

943 CIM\_PolicySetInRoleCollection is an optional association that may be used to aggregate zero or more

944 PolicyRules and PolicyGroups (i.e., the subclasses of PolicySet) into a PolicyRoleCollection object,

945 representing a role supported/enforced by the PolicySet.

946 Table 32 contains the requirements for elements of this class.

- 947
- 948

#### Table 32 – Class: CIM\_PolicySetInRoleCollection

Elements	Requirement	Notes
Collection	Mandatory	Кеу
Member	Mandatory	Кеу

# 949 **10.19 CIM\_PolicySetValidityPeriod**

950 CIM PolicySetValidityPeriod is an optional association that may be used to aggregate scheduled activation and deactivation of a PolicySet. A PolicySet is considered "active" if it is both "Enabled" and in 951 952 a valid time period. If a PolicySet is associated with multiple policy time periods via this association, then 953 the Set is in a valid time period if at least one of the time periods evaluates to TRUE. If a PolicySet is contained in another PolicySet via the PolicySetComponent aggregation (e.g., a PolicyRule in a 954 955 PolicyGroup), then the contained PolicySet (e.g., PolicyRule) is in a valid period if at least one of the aggregate's PolicyTimePeriodCondition instances evaluates to TRUE and at least one of its own 956 957 PolicyTimePeriodCondition instances also evalutes to TRUE. (In other words, the 958 PolicyTimePeriodConditions are ORed to determine whether the PolicySet is in a valid time period and 959 then ANDed with the ORed PolicyTimePeriodConditions of each of PolicySet instances in the 960 PolicySetComponent hierarchy to determine if the PolicySet is in a valid time period and, if also 961 "Enabled", therefore, active, i.e., the hierachy ANDs the ORed PolicyTimePeriodConditions of the 962 elements of the hierarchy. A Time Period may be aggregated by multiple PolicySets. A Set that does not point to a PolicyTimePeriodCondition via this association, from the point of view of scheduling, is always 963 964 in a valid time period.

<sup>965</sup> Table 33 contains the requirements for elements of this class.

#### Table 33 – Class: CIM\_PolicySetValidityPeriod

Elements	Requirement	Notes
GroupComponent	Mandatory	Кеу
PartComponent	Mandatory	Кеу

# 968 10.20 CIM\_PolicyTimePeriodCondition

969 CIM\_PolicyTimePeriodCondition is an optional class that may be used to represent the time periods

970 during which a PolicySet is valid, i.e., active. At all times that fall outside these time periods, the PolicySet 971 has no effect.

972 Table 34 contains the requirements for elements of this class.

973

#### 974

#### Table 34 – Class: CIM\_PolicyTimePeriodCondition

Elements	Requirement	Notes
TimePeriod	Optional	See Section 7.20
MonthOfYearMask	Optional	See Section 7.20
DayOfMonthMask	Optional	See Section 7.20
DayOfWeekMask	Optional	See Section 7.20
TimeOfDayMask	Optional	See Section 7.20
LocalOrUtcTime	Optional	See Section 7.20
SystemCreationClassName	Mandatory	Кеу
SystemName	Mandatory	Кеу
PolicyRuleCreationClassName	Mandatory	Кеу
PolicyRuleName	Mandatory	Кеу
CreationClassName	Mandatory	Кеу
PolicyConditionName	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free-form string of variable length (pattern ".*").

# 975 **10.21 CIM\_ReusablePolicy**

976 CIM\_ReusablePolicy is an optional association that may be used to establish dependency relationships 977 between Policies and the Systems that host them.

Table 35 contains the requirements for elements of this class.

Table 35 – Class:	CIM_	ReusablePolicy
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Elements	Requirement	Notes
Antecedent	Mandatory	Кеу
Dependent	Mandatory	Кеу

981

# 982 **10.22 CIM\_ReusablePolicyContainer**

983 CIM\_ReusablePolicyContainer is an optional class that may be used to represente an administratively 984 defined container for reusable policy-related information. This class does not introduce any additional 985 properties beyond those in its superclass AdminDomain. It does, however, participate in a unique 986 association for containing policy elements. An instance of this class uses the NameFormat value 987 "ReusablePolicyContainer".

988 Table 36 contains the requirements for elements of this class.

989

990

Table 36 – Class: CIM\_ReusablePolicyContainer

Elements	Requirement	Notes
CreationClassName	Mandatory	Кеу
Name	Mandatory	Кеу
ElementName	Mandatory	This property shall be formatted as a free-form string of variable length (pattern ".*").

991

# 993ANNEX A994(Informative)

# 995

996

# Change Log

Version	Date	Description	

998	ANNEX B
999	(Informative)
1000	
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